

**PART 1**

DBE/TPHW/1 - Department of the Built Environment / Transportation &amp; Public Realm / Highways

**Failure to comply with our Network Management****Control Effectiveness G**

Under S16 of Traffic Management Act 2004 we are required to manage our road network so that (a) we secure the expeditious movement of traffic on the City's road network; and (b) facilitate the expeditious movement of traffic on road networks for which another authority is the traffic authority (e.g. TfL and neighbouring authorities).

In so complying with S16 of the Act, the City is required to take account of other relevant policies.

This Risk also relates to the activities of the Local Transportation team.

Unmitigated ... Impact 3      Likelihood 4      Risk **16**

Status Review signed off on 2 Apr 13 by Steve Presland - Review due by 1 Jul 13

## Detailed Risk(s)

Specific Risk	Mitigation
Failure to identify & implement ways to facilitate traffic movement on the City's road network & those of other authorities and agencies	Monitor network performance, engage with stakeholders to identify needs and seek funding to make appropriate network change
Negative synergy of impact of multiple concurrent works by TfL or other traffic authority	Information exchange protocols regarding works which are liable to impact the City
Negative synergy of impact of multiple concurrent works within the City	12 month rolling programme to de-conflict works
Streetworks disrupt the network	Effective streetworks management process to minimise disruption
Adversely disrupting the network by making inappropriate highway changes	Anticipate effects of change across all user groups, consult with stakeholders on proposed changes, monitor effects of change

Mitigated ... Impact 3      Likelihood 1      Risk **6**

**Further Action**

## PART 2

Reference DBE/DSUR/1 **Owner** Department of the Built Environment / District Surveyor**Control Effectiveness** G**Risk Title:** Legislation changes

Impact 2 Likelihood 3 Risk 8

<b>Risk Description</b>	The government are looking at all regulatory functions to relieve the burden on industry. Any changes to the remit of the Building Regulations will affect the financial viability of the Division
<b>Further Actions</b>	None
<b>Changes since last review</b>	Following repeal of Section 20 of the London Building Acts we are addressing the reduction in income by undertaking "Approval In Principle" work on behalf of the City Surveyor and "Fire Risk Assessments" for both internal and external clients.

Reference DBE/DSUR/2 **Owner** Department of the Built Environment / District Surveyor**Control Effectiveness** G**Risk Title:** Budget Loss over 3/5 year period

Impact 3 Likelihood 3 Risk 13

<b>Risk Description</b>	CIPFA guidance states that the Building Regulations chargeable account should balance over this period.
<b>Further Actions</b>	None
<b>Changes since last review</b>	The District Surveyor is carrying out an analysis of expected workload over the next 3 years to ensure that resources are matched to requirements.

Reference DBE/PLSV/5 **Owner** Department of the Built Environment /Development Division/  
Development Management**Control Effectiveness** G**Risk Title:** Uncertainty of the legislative environment and Central Government advice in respect of Development Management

Impact 3 Likelihood 3 Risk 13

<b>Risk Description</b>	Uncertainty of the legislative environment and Central Government advice in respect of Development Management
<b>Further Actions</b>	None
<b>Changes since last review</b>	The City of London has applied to DCLG for exemption with regard to Permitted Development Rights for Change of Use from Commercial to Residential.

Reference DBE/TPLC/3 **Owner** Department of the Built Environment /Transportation & Public Realm/  
Cleansing **Control Effectiveness** A

**Risk Title:** A fatal road accident **Impact** 4 **Likelihood** 2 **Risk** 17

<b>Risk Description</b>	An accident involving a Member/employee/contractor on City of London business leading to a fatality. Possibility of a corporate manslaughter charge being brought against the City of London.
<b>Further Actions</b>	Complete implementation of driving licence checking procedure following adoption of new Corporate Transport Policy.
<b>Changes since last review</b>	Revised Corporate Transport Policy agreed by Summit Group. Implementation pending approval of Chief Officers' Group in Spring 2013. DBE piloting the new driver registration software on iTrent.

Reference DBE/TPLC/5 **Owner** Department of the Built Environment /Transportation & Public Realm/  
Cleansing **Control Effectiveness** A

**Risk Title:** A major incident, such as flooding or fire, makes Walbrook Wharf unusable as a depot **Impact** 2 **Likelihood** 2 **Risk** 5

<b>Risk Description</b>	This could have several causes such as natural disaster, accident or terrorism/riot
<b>Further Actions</b>	Continuity plans (including the waste and cleansing contractor plans) to be reviewed and updated. Cost benefit of insuring this risk to be explored.
<b>Changes since last review</b>	Continuity plans (including the waste and cleansing contractor plans) have been reviewed and are current until June 2013. Alternative arrangements are being negotiated with Ealing Council.